

Rule	Rule Description	Evidence Requirement(s)
ASN01	ASN not received prior to 6:00am Central Time the day after shipment release	EDI data validating required timing of ASN was met and BOL showing sent date of ASN or email from Kohl's EDI team or Vendor Services team indicating an exemption was granted for the PO(s) in question.
ASN02	ASN late/Trailer arrived at DC	ASN segment and BOL showing sent date of ASN or email from Kohl's EDI team or Vendor Services team indicating an exemption was granted for the PO(s) in question.
ASN07	No ASN	EDI data validating required timing of ASN was met and BOL showing sent date of ASN or email from Kohl's EDI team or Vendor Services team indicating an exemption was granted for the PO(s) in question.
ASN08	Incorrect vendor ship date within vendor's ASN data	ASN data and BOL
ASN09	Failure to send direct-to-store ASN	ASN data and BOL
ASN11	Incorrect DC/Store Association at the ASN level	ASN segment showing correct DC/store association
ASN12	Incorrect/Missing CAN, BOL, ME#/TP, or PRO number in ASN transmission	ASN data showing correct CAN, BOL, ME#/TP, or PRO number
ASN13	Incorrect/Missing CAN, BOL, ME#/TP, or PRO number in ASN transmission	ASN data showing correct CAN, BOL, ME#/TP, or PRO number
ASN14	Incorrect/Missing CAN, BOL, ME#/TP, or PRO number in ASN transmission	ASN data showing correct CAN, BOL, ME#/TP, or PRO number
BOL01	Bill of Lading not sent to Central Order Control by shipment release date	Verification of when the email containing the BOL in question was sent to Kohl's Centralized Order Control
BOL02	Missing/Incorrect information on BOL	Copy of Bill of Lading documenting all of Kohl's required components.
BOL03	Incorrect information on BOL	Copy of Bill of Lading documenting that all of Kohl's required components are accurate.
BOL04	ME across multiple trailers	Copy of Bill of Lading documenting that it is trailer-specific.
CR02	Carton exceeded maximum allowable dimensions	Digital photos of carton w/dimensions that indicate noted shipment within specs.
CR03	Cartons are missing or include incorrect info	Digital photos of carton w/all necessary and correct info.
CR04	Crtns were bound with metal or plastic straps	Digital photos of carton w/out straps of any type.
CR05	Heavy carton label not applied to cartons over 50 lbs	Digital photos of carton w/documented weight and appropriate sticker.
CR06	Carton less than 50% filled	Digital photos of carton w/dimensions and contents filling over 50% of carton.
CR07	Shipping carton exceeds 50-pound weight limit	Digital photos of carton with documented weight
CR08	Failure to appropriately package sharp, liquid	Digital photo with carton documentation of sufficient internal packaging
EP01	Failure to package merchandise per unit in Kohl's specified poly bag	EDI data showing that PO(s) were not ecommerce-specific. Buyer supplied email is not sufficient for a reversal of this charge.
EP02	Failure to seal or include child safety warning on poly bag	EDI data showing that PO(s) were not ecommerce-specific. Buyer supplied email is not sufficient for a reversal of this charge.
EP03	Failure to ppackage single-selling unit exceeding 26x20x15...etc.	EDI data showing that PO(s) were not ecommerce-specific. Buyer supplied email is not sufficient for a reversal of this charge.
EP05	Ecommerce shipment arrived with EAS tags applied	EDI data showing that PO(s) were not ecommerce-specific. Buyer supplied email is not sufficient for a reversal of this charge.
EPT01	Missing/Incorrect UPC barcode on polybag/ship ready carton for Ecomm Merchandise	EDI data showing that PO(s) were not ecommerce-specific. Buyer supplied email is not sufficient for a reversal of this charge.
EPT04	Failure to indicate Ecom merchandise is packaged as a SET or a BUNDLE	EDI data showing that PO(s) were not ecommerce-specific. Buyer supplied email is not sufficient for a reversal of this charge.
EPT05	Unable to scan UPC bar code on small gum ticket	EDI data showing that PO(s) were not ecommerce-specific. Buyer supplied email is not sufficient for a reversal of this charge.
EPT06	Incorrect UPC barcode on small gum ticket	EDI data showing that PO(s) were not ecommerce-specific. Buyer supplied email is not sufficient for a reversal of this charge.
EUC04	Improperly placed GS1-128 label for E-Commerce shipments	Digital photo documentation showing ecommerce carton label correctly applied
HG03	Crown sizer not applied hanger	Digital photo evidence showing crown sizer applied to hanger(s)
HG05	Apparel Shipment on Ecommerce specific order arrives with hangers applied	EDI data showing that PO(s) were not ecommerce-specific or email from the Buyer stating an exemption has been approved for the PO(s) in question. Email from the Buyer must be dated prior to PO start ship date ensuring proactive communication of the issue.
HG06	Missing or incorrect crown sizer on hanger	Documentation from PD/Buying Office giving direction to ship with incorrect crown sizers. Documentation must be dated prior to x-factory (Import), Start Ship (Domestics).
HG07	Hangers bound with non-approved Kohl's material	Photo evidence showing hangers bound with approved Kohl's materials
IM001	Import ASN not received 5 days after vessel sail	EDI data validating required timing of ASN was met or email from Kohl's EDI team or Vendor Services team indicating an exemption was granted for the PO(s) in question.
IM002	No ASN received from vendor for import shipment	EDI data validating required timing of ASN was met or email from Kohl's EDI team or Vendor Services team indicating an exemption was granted for the PO(s) in question.
IM003	Merchandise not packed as ordered on Kohl's Import PO	EDI and photo evidence that PO was shipped as ordered; documentation must be dated prior to x-Factory window.
IM004	GS1-128128 labels have missing/incorrect/or illegible human readable SSCC number	Photo showing the carton labeling was readable and applied correctly prior to ship. Documentation noting the vendor Passed the Bar Code Graphics GS1-128 Label Analysis for the type of PO that was shipped dated prior to the x-factory of the PO.
IM005	No GS1-128 label on carton for Import vendor in EDI production status	Email from Vendor Services that an exemption has been approved for the PO(s) in question. Email must be dated prior to PO x-factory window ensuring proactive communication of the issue.
IM006	GS1-128 label on Import shipments not scannable	Email from Vendor Services that an exemption has been approved for the PO(s) in question. Email must be dated prior to PO x-factory window ensuring proactive communication of the issue.
IM007	Unable to process cartons resulting from missing ASN data or missing carton labels	Email from Vendor Services or EDI Team that an exemption has been approved for the PO(s) in question. Email must be dated prior to PO ex-factory window ensuring proactive communication of the issue.
LD001	Landed ASN not received from vendor prior to 11:59 p.m. CST 7 days prior to PO start ship	EDI data validating required timing of ASN was met or email from Kohl's EDI team or Vendor Services team indicating an exemption was granted for the PO(s) in question.

LD002	No ASN received from vendor for landed shipment	EDI data validating required timing of ASN was met or email from Kohl's EDI team or Vendor Services team indicating an exemption was granted for the PO(s) in question.
LD003	Merchandise not packed as ordered on Kohl's landed PO	EDI data and photo evidence that PO was shipped as ordered; documentation must be dated prior to start ship date.
LD004	SSCC#s in container ASN do not match the SSCC#s on the physical cartons	ASN or EDI segment showing barcode was sent correctly.
LD005	No UCC128 label on carton for landed vendor in EDI production status	Photo documentation of carton with label applied prior to shipment.
LD006	UCC128 serial ship bar code on landed shipments not scannable	Photo documentation of carton with label applied prior to shipment.
LD007	Unable to process cartons resulting from missing or incorrect ASN data	ASN or EDI segment showing barcode was sent correctly.
LD008	Landed Late Shipment	Terminal documentation confirming container was fully released and available for pickup on or before the PO start ship date
MD01	Merchandise shipped to incorrect distribution center or data associated with incorrect distribution center transmitted	BOL and original EDI transmission showing cartons were sent with correct DC/store association.
MDD02	Incorrect DC/Store association (> 25 cartons)	Original EDI transmission showing cartons were sent with correct DC/store association.
MIX01	Carton packed with more than one SKU	EDI data and photo evidence that PO was shipped as ordered, with single SKU per carton;; documentation must be dated prior to start ship date.
MIX02	Carton packed with more than one SKU	Email from the Buyer stating an exemption has been approved for the PO(s) in question. Email from the Buyer must be dated prior to PO start ship date ensuring proactive communication of the issue.
MST01	Mixed Set Trailer	Documentation from Buying Office outlining an exclusion of noted shipping requirement.
OA01	Carton packed with peanuts	Documentation from Buying Office outlining an exclusion of noted packaging requirement.
OA02	Polybag does not have perforation	Email from the Buyer stating an exemption has been approved for the PO(s) in question. Email from the Buyer must be dated prior to PO start ship date ensuring proactive communication of the issue.
OA03	EAS tag is missing	Documentation from Buying Office outlining an exclusion of noted packaging requirement.
OA04	Sewn-in UPC is missing	Documentation from Buying Office outlining an exclusion of noted packaging requirement.
PG01	PO not packed as ordered	EDI data and photo evidence that PO was shipped as ordered; documentation must be dated prior to start ship date.
PG03	Failure to comply with external carton requirements causing additional handling	Carton Strength test report dated within 6 months of the ship date for the cartons in question
PT01	Units checked due to merchandise missing tickets	Email from Vendor.Compliance@kohls.com stating an exemption has been approved for the PO(s) or vendor in question. Email must be dated prior to PO start ship date and no older than one year
PT02	Units checked due to missing or incorrect shoe box ID	Email from Vendor.Compliance@kohls.com stating an exemption has been approved for the PO(s) or vendor in question. Email must be dated prior to PO start ship date and no older than one year
PT04	Units checked due to incorrect retail price	Email from Vendor.Compliance@kohls.com stating an exemption has been approved for the PO(s) or vendor in question. Email must be dated prior to PO start ship date and no older than one year
PT05	Units checked due to barcodes not scannable	Photo evidence of product barcode quality prior to shipment start ship date
PT06	Units checked due to incorrect UPC on merchandise	Photo evidence of correct product UPC barcode prior to shipment start ship date
TL01	Truckload shipment tendered on pallets	Email from Kohl's Central Outbound Transportation (COT) Team approving the use of pallets for Backhaul loads; proof that load was assigned as LTL; email from Vendor.Services@kohls.com stating an exemption has been approved for the PO(s) or vendor in question. Emails must be dated prior to PO start ship date and no older than one year.
TL02	Failure to apply a proper trailer bolt seal	Digital, date-stamped photograph showing container number and bolt seal application along with a second photo of the close-up of the bolt seal number visible
TMS05	Inaccurate routing (origin, cube, weight, etc.) entered into TMS	Include a copy of the BOL signed and dated by the carrier. Bill of Lading (BOL) must include the Mode Execution (ME) number/TP load number and the scheduled appointment time/driver pick-up time/load finish time (with times intialed by the carriers driver).
TMS06	Cancellation of a scheduled TMS release	Include a copy of the BOL signed and dated by the carrier. Bill of Lading (BOL) must include the Mode Execution (ME) number/TP load number and the scheduled appointment time/driver pick-up time/load finish time (with times intialed by the carriers driver).
TMS07	Failure to submit new or additional ship point locations for TMS system (15) business days prior to purchase order start ship date	Include a copy of the BOL signed and dated by the carrier. Bill of Lading (BOL) must include the Mode Execution (ME) number/TP load number and the scheduled appointment time/driver pick-up time/load finish time (with times intialed by the carriers driver).
TMS08	Failure to accurately flag freight as Hazmat in TMS	Include a copy of the BOL signed and dated by the carrier. Bill of Lading (BOL) must include the Mode Execution (ME) number/TP load number and the scheduled appointment time/driver pick-up time/load finish time (with times intialed by the carriers driver).
TMS09	Failure to notify TMS@kohls.com of carrier delay/failure prior to shipping, which results in shipping past PO original cancel date	Include a copy of the BOL signed and dated by the carrier. Bill of Lading (BOL) must include the Mode Execution (ME) number/TP load number and the scheduled appointment time/driver pick-up time/load finish time (with times intialed by the carriers driver).
TR001	Collect Program - Commercial Zone Multi-Stop Pickup charges	BOL indicating pick up address outside the commerical zone.
TR002	Collect Program - Commercial Zone pickup charges to consolidator	BOL indicating pick up address outside the commerical zone.
TR003	Multi-stop violation	TMS email contact with photographs of the trailer number, the previous stop to indicate how full the trailer was and how it was loaded, and a photograph of the end of the trailer from the outside.
TR004	Vendor pays freight charges	Vendor agreement stating vendor is not signed up for this program
TR007	Accesorial	Documentation from Buying Office or Transportation routing team outlining an exclusion of noted transportation requirement.
TR008	Vendor did not use the carrier authorized by Kohl's TMS instructions	Include a copy of the BOL signed and dated by the carrier. Bill of Lading (BOL) must include the Mode Execution (ME) number/TP load number and the scheduled appointment time/driver pick-up time/load finish time (with times intialed by the carriers driver). Email from Kohl's listing PO# and reason for exclusion from this charge.

TR009	Failure to confirm a routing request in TMS prior to the start ship date	Uber Freight release number and screen shot showing that release was entered on time per our guidelines, or email from Buyer stating an exemption has been approved for the PO in question. Email from the Buyer must be dated prior to PO ship date ensuring proactive communication of the issue.
TR013	Failure to be ready or available for pickup on earliest available date as entered in TMS	Vendor email to carrier email documenting availability on the earliest available day as entered in TMS (dated prior to violation date)
TR015	Vendor pays 50% of the freight charges	Vendor agreement stating vendor is not signed up for this program
TR017	Vendor did not consolidate same/consecutive day shipments on to one BOL	Include a copy of the BOL signed and dated by the carrier. Bill of Lading (BOL) must include the Mode Execution (ME) number/TP load number and the scheduled appointment time/driver pick-up time/load finish time (with times intialed by the driver)
TR020	Detention	Include a copy of the BOL signed and dated by the carrier. Bill of Lading (BOL) must include the Mode Execution (ME) number/TP load number and the scheduled appointment time/driver pick-up time/load finish time (with times intialed by the driver)
TR021	Landed loose freight consolidation	Vendor agreement stating vendor is not signed up for this program
TR026	Multiple routing requests for shipments within the same calendar week	Email from TMS@Kohls.com stating second routing was approved. Email must be dated prior to PO start ship date ensuring proactive communication of the issue.
TR027	Failure to have all shipments with the same start ship date or ship window available for pickup on the same day	Include a copy of the BOL signed and dated by the carrier. Bill of Lading (BOL) must include the Mode Execution (ME) number/TP load number and the scheduled appointment time/driver pick-up time/load finish time (with times intialed by the carrier's driver).
TR032	Backorder shipment (more than one shipment for PO)	Include a copy of the BOL signed and dated by the carrier. Bill of Lading (BOL) must include the Mode Execution (ME) number/TP load number and the scheduled appointment, driver pick-up, and load finish times (with times intialed by the carrier's driver), indicating that the load was shipped complete
TR035	Late shipment	Include a copy of the BOL signed and dated by the carrier. Bill of Lading (BOL) must include the Mode Execution (ME) number/TP load number and the scheduled appointment, driver pick-up, and load finish times (with times intialed by the carrier's driver).
TR036	Incorrect weight/cube/cartons in TMS	Include a copy of the BOL signed and dated by the carrier. Bill of Lading (BOL) must include the Mode Execution (ME) number/TP load number and the scheduled appointment, driver pick-up, and load finish times (with times intialed by the carrier's driver).
TR037	ME/TP load number not on Freight Bill	Include a copy of the BOL signed and dated by the carrier. Bill of Lading (BOL) must include the Mode Execution (ME) number/TP load number and the scheduled appointment, driver pick-up, and load finish times (with times intialed by the carrier's driver).
TR040	Weight discrepancy against routing	Include a copy of the BOL signed and dated by the carrier. Bill of Lading (BOL) must include the Mode Execution (ME) number/TP load number and the scheduled appointment, driver pick-up, and load finish times (with times intialed by the carrier's driver).
TR043	PO shipped not routed	Include a copy of the BOL signed and dated by the carrier. Bill of Lading (BOL) must include the Mode Execution (ME) number/TP load number and the scheduled appointment time/driver pick-up time/load finish time (with times intialed by the carrier's driver).
TR044	PO routed not shipped	Include a copy of the BOL signed and dated by the carrier. Bill of Lading (BOL) must include the Mode Execution (ME) number/TP load number and the scheduled appointment time/driver pick-up time/load finish time (with times intialed by the carrier's driver).
TR050	Additional Insurance - Vendor Requested	Vendor agreement stating vendor is not signed up for this program
TR053	Failure to load any shipment by PO and destination sequence	Photo evidence that trailer was loaded as required by Kohl's.
TR054	Domestic Fill Rate - SKU shipped less than order quantity	Most recent EDI documentation dated prior to ship date reflecting reduction in SKU quantity or removal of SKU (or) proof of reversal from Kohl's AP for the PO(s) and SKU(s) in question (make sure to include the "R" or remittance number associated with the AP shortage reversal).
UC01	No GS1 label on carton	Photo documentation with label on carton. Note, label must withstand the rigors of transportation and arrive to Kohl's intact.
UC02	Shipment was labeled at pallet level	Photo documentation of shipment labeled at carton level.
UC03	Unusable GS1-128 barcode	Barcode Graphics GS1-128 Evaluation Report showing successful test for pack type. Note, label must withstand the rigors of transportation and arrive to Kohl's intact.
UC04	Improperly placed GS1-128 label	Photo evidence showing that the label placement is correct per the label requirements listed on K-Link
UC05	Same SSCC# found on multiple cartons	Photo evidence of unique barcodes on all cartons
UC06	GS1-128 labels applied in error	Photo evidence that shows merchandise matches the GS1-128.
UC10	Missing or unusable SSCC Carton Data	ASN or EDI segment showing barcode was sent correctly.
UC11	Damaged GS1-128 barcode	Evidence showing that cartons were loaded in a way that would not cause damage to the GS1-128 labels. Note, label must withstand the rigors of transportation and arrive to Kohl's intact.
UC12	Carton includes two different GS1-128 labels	Photo evidence of one unique GS1-128 on all cartons
VA01	Units checked due to vendor accuracy issues	Evidence showing that carton contents are identical to ASN details timestamped prior to PO ship date
VA02	Units checked due to vendor accuracy issues	Evidence showing that carton contents are identical to ASN details timestamped prior to PO ship date
VC01	Violation disputed and denied	This charge is not disputable.
VC02	Document Request	This charge is not disputable.
VC03	Repeated request for reversal	This charge is not disputable.
VC04	Charge disputed via email not Trading Partner Portal	This charge is not disputable.
VC05	Vendor on-boarding fee	This charge is not disputable.